



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Sherrer

Application No. 10/022,726

Attorney Docket No.: R&H 03-12; DN: 51962 (ACT - 176)

Filed: December 20, 2001

For: OPTICAL SWITCH ASSEMBLY  
WITH FLEX PLATE AND METHOD  
FOR MAKING

Examiner: Scott A. Knauss

Group Art Unit: 2874

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to Commissioner for Patents, Alexandria, VA 22313-1450

2-20-2004  
Date of Certificate

Cristin Donahue  
Cristin Donahue

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. Accordingly, the fee required under 37 C.F.R. 1.97(c) is enclosed. In the event the enclosed fee is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406. In addition, the Examiner's attention is drawn to the following co-pending application. By disclosing the below listed application Applicants do not waive the secrecy of the

application, a copy of which is enclosed.

**METHOD FOR MAKING FIBER ARRAY HAVING PROTRUDING OPTICAL FIBERS  
AND RESULTING FIBER ARRAY**

Serial Number: 09/814,128

Filing Date: March 22, 2001

Inventor: David W. Sherrer

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

**DANN, DORFMAN, HERRELL & SKILLMAN**  
A Professional Corporation  
Attorneys for Applicant(s)

By



Niels Haun

PTO Registration No. 48,488

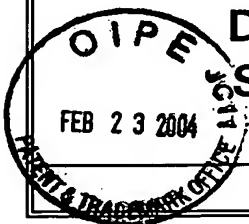
Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449

# INFORMATION DISCLOSURE STATEMENT



SHEET 1 OF 1

*Complete if known*

Application Number: 10/022,726

Filing Date: December 20, 2001

First Named Inventor: Sherrer

Group Art Unit: 2874

Examiner Name: Scott A. Knauss

Attorney Docket Number: R&H 03-12

## UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
		6086704	07/11/2000	Kanai et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

## OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S SIGNATURE	DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.



# FEE TRANSMITTAL

	Complete if known
	Application Number: 10/022,726
	Filing Date: December 20, 2001
	First Named Inventor: Sherrer
	Group Art Unit: 2874
	Examiner Name: Scott A. Knauss
Total Amt. of Payment: (1)+(2)+(3)= \$180	Attorney Docket Number: R&H 03-12

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																									
<p>1. The Commissioner is hereby authorized to:</p> <p><input type="checkbox"/> Charge indicated fees</p> <p><input checked="" type="checkbox"/> Charge additional fees</p> <p><input checked="" type="checkbox"/> Credit overpayments</p> <p>to the account of DANN, DORFMAN, HERRELL &amp; SKILLMAN</p> <p>Deposit Account Number <u>04-1406</u></p> <p>2. Payment enclosed:</p> <p>Check in the amount of <u>\$180</u></p>		<p><b>ADDITIONAL FEES</b></p> <table border="1"><thead><tr><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Surcharge-late filing fee or oath</td><td></td></tr><tr><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>Extension for response within first month</td><td></td></tr><tr><td>Extension for response within second month</td><td></td></tr><tr><td>Extension for response within third month</td><td></td></tr><tr><td>Extension for response within fourth month</td><td></td></tr><tr><td>Notice of Appeal</td><td></td></tr><tr><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>Request for oral hearing</td><td></td></tr><tr><td>Petition to revive unavoidably abandoned application</td><td></td></tr><tr><td>Petition to revive unintentionally abandoned application</td><td></td></tr><tr><td>Issue fee</td><td></td></tr><tr><td>Petitions to the Commissioner</td><td></td></tr><tr><td>Petitions related to provisional applications</td><td></td></tr><tr><td>Submission of Information Disclosure Stmt.</td><td>180.00</td></tr><tr><td>Recording each patent assignment per property</td><td></td></tr><tr><td>Other fee (specify) <u>Advance Order (10 copies)</u></td><td></td></tr><tr><td>Other fee (specify)</td><td></td></tr><tr><td colspan="2"><b>SUBTOTAL (3) \$180</b></td></tr></tbody></table>		Fee Description	Fee Paid	Surcharge-late filing fee or oath		Surcharge - late provisional filing fee or cover sheet		Extension for response within first month		Extension for response within second month		Extension for response within third month		Extension for response within fourth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to revive unavoidably abandoned application		Petition to revive unintentionally abandoned application		Issue fee		Petitions to the Commissioner		Petitions related to provisional applications		Submission of Information Disclosure Stmt.	180.00	Recording each patent assignment per property		Other fee (specify) <u>Advance Order (10 copies)</u>		Other fee (specify)		<b>SUBTOTAL (3) \$180</b>	
Fee Description	Fee Paid																																										
Surcharge-late filing fee or oath																																											
Surcharge - late provisional filing fee or cover sheet																																											
Extension for response within first month																																											
Extension for response within second month																																											
Extension for response within third month																																											
Extension for response within fourth month																																											
Notice of Appeal																																											
Filing a brief in support of an appeal																																											
Request for oral hearing																																											
Petition to revive unavoidably abandoned application																																											
Petition to revive unintentionally abandoned application																																											
Issue fee																																											
Petitions to the Commissioner																																											
Petitions related to provisional applications																																											
Submission of Information Disclosure Stmt.	180.00																																										
Recording each patent assignment per property																																											
Other fee (specify) <u>Advance Order (10 copies)</u>																																											
Other fee (specify)																																											
<b>SUBTOTAL (3) \$180</b>																																											
<p><b>FEE CALCULATION</b></p> <table border="1"><thead><tr><th>Fee Description</th><th>Fee</th></tr></thead><tbody><tr><td>Utility filing fee</td><td></td></tr><tr><td>Design filing fee</td><td></td></tr><tr><td>Plant filing fee</td><td></td></tr><tr><td>Reissue filing fee</td><td></td></tr><tr><td>Provisional filing fee</td><td></td></tr><tr><td colspan="2"><b>SUBTOTAL (1) \$0</b></td></tr></tbody></table>		Fee Description	Fee	Utility filing fee		Design filing fee		Plant filing fee		Reissue filing fee		Provisional filing fee		<b>SUBTOTAL (1) \$0</b>																													
Fee Description	Fee																																										
Utility filing fee																																											
Design filing fee																																											
Plant filing fee																																											
Reissue filing fee																																											
Provisional filing fee																																											
<b>SUBTOTAL (1) \$0</b>																																											
<p>2. Claims</p> <table border="1"><thead><tr><th></th><th>Paid</th><th>Extr</th><th>Fee</th></tr></thead><tbody><tr><td>Total Claims</td><td>-</td><td>= 0</td><td>x = 0</td></tr><tr><td>Independent Claims</td><td>-</td><td>= 0</td><td>x = 0</td></tr><tr><td>Multiple Dependent (First presentation)</td><td></td><td></td><td></td></tr><tr><td colspan="4"><b>SUBTOTAL (2) \$0</b></td></tr></tbody></table>					Paid	Extr	Fee	Total Claims	-	= 0	x = 0	Independent Claims	-	= 0	x = 0	Multiple Dependent (First presentation)				<b>SUBTOTAL (2) \$0</b>																							
	Paid	Extr	Fee																																								
Total Claims	-	= 0	x = 0																																								
Independent Claims	-	= 0	x = 0																																								
Multiple Dependent (First presentation)																																											
<b>SUBTOTAL (2) \$0</b>																																											

Submitted By:

Typed or

Printed Name Niels Haun

Reg. Number 48,488

Deposit Account User ID

Signature Niels Haun

Date February 20, 2004

04-1406